

FILING RECEIPT



OC000000005025163

UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/424,458	03/16/2000 <i>should read 11/23/1999</i>	1846	1874	13198	85	24	13 12

SCULLY SCOTT MURPHY & PRESSER
400 GARDEN CITY PLAZA
GARDEN CITY, NY 11530

Date Mailed: 04/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

NICHOLAS HAYWARD, PADDINGTON, AUSTRALIA;
GINTERS SILINS, THE GAP, AUSTRALIA;
SEAN GRIMMOND, DIDCOT, UNITED KINGDOM;
MICHAEL GARTSIDE, CAMP HILL, AUSTRALIA;
JOHN HANCOCK, PULLENVALE, AUSTRALIA;
JODI CLYDE-SMITH, BALMORAL, AUSTRALIA;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A 371 OF PCT/AU98/00380 05/22/1998

Foreign Applications

AUSTRALIA PO 6972 05/23/1997
AUSTRALIA PO 6973 05/23/1997
AUSTRALIA PO 6974 05/23/1997
AUSTRALIA PO 1458 01/22/1998
AUSTRALIA PP 1459 01/22/1998
AUSTRALIA PP 1460 01/22/1998

should read Australia 09 1458 01/22/98

If Required, Foreign Filing License Granted 04/03/2000

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Title

THREE NOVEL GENES ENCODING A ZINC FINGER PROTEIN, A GUANINE, NUCLEOTIDE
EXCHANGE FACTOR AND A HEAT SHOCK PROTEIN OR HEAT SHOCK BINDING PROTEIN

*should read
Protein*

BEST AVAILABLE COPY

1646

PCT

SCULLY, SCOTT, MURPHY & PRESSER

A PROFESSIONAL CORPORATION

ATTORNEYS AT LAW

400 GARDEN CITY PLAZA

GARDEN CITY, NEW YORK 11530-0299

STEPHEN D. MURPHY
LEOPOLD PRESSER
KENNETH L. KING
FRANK S. DIGIGLIO
PAUL J. ESATTO, JR.
JOHN S. SENSNY
MARK J. COHEN
RICHARD L. CATANIA
EDWARD W. GROLZ
WILLIAM C. ROCH

PETER I. BERNSTEIN
MARVIN BRESSLER
STEVEN FISCHMAN
ANN R. POKALSKY
THOMAS SPINELLI
JOHN F. VODOPIA
PATRICIA A. WILCZYNSKI

ALLEN R. MORGANSTERN
STEPHEN A. YOUNG
COUNSEL

LESLIE S. SZIVOS, PH.D.
ALEXANDER G. VODOVOZOV, J.D.
XIAOCHUN ZHU, PH.D.
TECHNICAL CONSULTANTS

JOHN F. SCULLY (RETIRED)

ANTHONY C. SCOTT (1931-1994)
WILLIAM E. McNULTY (1927-1993)

TELEPHONE: (516) 742-4343
FACSIMILE: (516) 742-4368
E-MAIL: intprop@ssmp.com

April 26, 2000

1600

E. John L. Hughes, Ph.D.
Davies Collison Cave
Brisbane Level 3
303 Coronation Drive
Milton Queensland
Australia 4064

Re: Nicholas Hayward, et al.
U.S. Patent Appln. No. 09/424,458
Your Ref: 2236691/P06972/97-EJH
Our Docket: 13198

Dear John:

We are pleased to inform you that the subject PCT application has entered the national stage in the United States as evidenced by the enclosed original filing receipt. We are requesting a filing receipt which has the corrections of several errors printed on the original filing receipt and when we receive it we will forward it to you.

The filing receipt reports:

Serial No.: 09/424,458

Filing Date: November 23, 1999

Please note the date indicated as the filing date on this filing receipt is the date of receipt, in the Designated Office, United States (DO/US), of the national fee, the copy of the international application, any amendments to claims, any necessary English translations and inventor's declaration as required by 35 U.S.C. §371.

The filing date of the international application, (November 23, 1999), shall be treated as the filing date in the United States, 35 U.S.C. §363, 37 C.F.R. §1.53(f).

Very truly yours,

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Scully, Scott, Murphy & Presser

SSM&P/cab
Enc.

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MAY 30 2000
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**TRANSMITTAL LETTER
(General - Patent Pending)**Docket No.
13198In Re Application Of: **Nicholas Hayward et al.**

Serial No.

09/424,458

Filing Date

(November 23, 1999)

Examiner

Unassigned

Group Art Unit

Title: **THREE NOVEL GENES ENCODING A ZINC FINGER PROTEIN, A GUANINE, NUCLEOTIDE
EXCHANGE FACTOR AND A HEAT SHOCK PROTEIN OR HEAT SHOCK BINDING PROTEIN****TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Transmitted herewith is:

Request For Correction of Inventors' Addresses

In the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: March 16, 2000

Leopold Presser
Registration No. 19,827
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

I certify that this document and fee is being deposited on
3/16/00 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Signature of Person Mailing Correspondence

Michelle Spina

Typed or Printed Name of Person Mailing Correspondence

CC:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

Unassigned

PCT/AU98/00380

13198

20. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Search Report has been prepared by the EPO or JPO \$840.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) \$670.00
- ☐ No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$760.00
- ☒ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$970.00

\$130.00

Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). ☐ 20 ☒ 30

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	24 - 20 =	4	x \$18.00
Independent claims	12 - 3 =	9	x \$78.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>

\$72.00

\$702.00

\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$1,874.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). ☐

\$0.00

SUBTOTAL =

\$1,874.00

Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). ☐ 20 ☐ 30

\$0.00

TOTAL NATIONAL FEE =

\$1,874.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

\$0.00

TOTAL FEES ENCLOSED =

\$1,874.00

Amount to be:	\$
refunded	
charged	\$

☒ A check in the amount of \$1,874.00 to cover the above fees is enclosed.☐ Please charge my Deposit Account No. in the amount of to cover the above fees.
A duplicate copy of this sheet is enclosed.☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 19-1013/SSMP A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Leopold Presser
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

SIGNATURE

Leopold Presser

NAME

19,827

REGISTRATION NUMBER

November 23, 1999

DATE

COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

(includes Reference to PCT International Applications)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

"Three novel genes encoding a zinc finger protein, a guanine, nucleotide exchange factor and a heat shock protein or heat shock binding protein"

the specification of which (check only one item below):

☐ is attached hereto.

☐ was filed as United States application

Serial No. _____

on 23 November 1999

and was amended

on _____ (if applicable).

☒ was filed as PCT international application

Number PCT/AU98/00380

on 22 May 1998

and was amended under PCT Article 19

on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY - PCT - 119 - 119 - 119	APPLICATION NUMBER	DATE OF FILING - day - month - year	PRIORITY CLAIMS UNDER 35 U.S.C. 119
Australia	PO 6972	23 May 1997	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Australia	PO 6973	23 May 1997	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Australia	PO 6974	23 May 1997	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Australia	PP 1458	22 January 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Australia	PP 1459	22 January 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Australia	PP 1460	22 January 1998	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO

TOTAL P.06